

### 第三者存款申請書

### Third Party Fund Deposit Request Form

帳戶名稱  
Account Name : \_\_\_\_\_ 帳戶號碼  
Account Number : \_\_\_\_\_

金額(港幣)  
Amount (HK\$) : \_\_\_\_\_ 存款日期  
Date of Deposit : \_\_\_\_\_

存款銀行名稱  
Bank Name of Transferor : \_\_\_\_\_

存款銀行戶口名稱  
Bank Account Name of Transferor : \_\_\_\_\_

客戶與存款人關係  
Relationship between Client and Transferor : \_\_\_\_\_

第三者存款原因  
Reason for Third party Deposit : \_\_\_\_\_

為了遵守對證券及期貨事務監察委員會發出有關“防止洗黑錢及恐怖分子集資活動的指引”，本人/吾等，以下簽署者，謹此聲明上述帳戶的款項：(1) 最終受益人為本人/吾等；及 (2) 款項來自或匯款目的並非為恐怖分子集資活動；涉嫌從販毒所得；組織及嚴重罪行的得益。

For complying with the Prevention of Money Laundering and Terrorist Financing Guidance Note issued by the Securities and Futures Commission, I / we, the under-signed, hereby declare that the funds in above mentioned account (1) I am / we are the ultimately beneficial owner; and (2) The funds are not sourced from or purposes of the remittance are not for Terrorist financing activities; Proceeds of Drug Trafficking; Proceeds of Organized and Serious Crimes.

S.V.

\_\_\_\_\_  
客戶簽署(公司客戶請加蓋公司蓋章, 如需要)  
Client(s) Signature (with company chop, if applicable)

\_\_\_\_\_  
日期 / Date

#### 註Note:

(1) 如存款收據、匯款指示或其他有關檔顯示存款是由第三者存入，客戶必須填妥及簽署此表格作申請。力高證券有限公司對是否接受第三者存款保留最終決定權。

This form is required to be completed and signed by client if deposit slip, remittance advice or other relevant document shows that a third party deposits funds for him / her. Lego Securities Limited reserve the right to accept the third party fund deposit or not.

(2) 第三者存款人需要提供身份證明，例如: (i) 個人 - 身份證或未過期的護照副本; (ii) 香港公司 - 公司註冊證副本及公司註冊處檔案查冊報告; (iii) 海外公司 - 公司註冊證副本及最新的職權證明書/良好紀錄證明書

**Third Party Payor's is required to provide identify proof, e.g. (i) Individual - Copy of ID Card / Unexpired Passport, (ii) Hong Kong Company - Copy of Certificate of Incorporation & Company Search; (iii) Overseas Company - Copy of Certificate of Incorporation & Up-to-date Certificate of Incumbency / Certificate of Good Standing issued by the Company's Registered Agent (showing the current existence).**

只供力高證券內部使用 (For LSL Internal Use Only)

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Handled By:	Approved by Responsible Officer:	Approved by Compliance:	Settlement Input by:	Settlement Checked by: