

Commission & Fee Schedule 佣金及收費表

Trade-Related Services 證券交易服務

Hong Kong Shares 香港股票

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Commission 佣金	0.25%	HK\$100.00	-
Transaction Levy 交易徵費	0.0027%	-	-
Trading Fee 交易費	0.00565%	-	-
Stamp Duty 印花稅	0.100%	HK\$1.00	-
FRC Transaction levy 交易徵費	0.00015%	-	-
CCASS Stock Settlement Fee 中央結算系統股份交收費	0.0042%	-	-

Grey Market Services 暗盤交易服務

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Commission 佣金	0.30%	HK\$150.00	-
Transaction Levy 交易徵費	0.0027%	-	-
Trading Fee 交易費	0.005%	-	-
Stamp Duty 印花稅	0.100%	HK\$1.00	-
FRC Transaction levy 交易徵費	0.00015%	-	-
CCASS Stock Settlement Fee 中央結算系統股份交收費	0.0042%	-	-

United States Shares 美國股票

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Commission 佣金	0.15%	US\$15.00	-
Transaction Fee 美國證監會收費 (SEC)	-	-	-
Trading Activity Fee 交易徵費	0.000119 美元X賣出數量 (只限沽出)	US\$0.01	US\$5.95
Dividend Tax 股息稅	股息額之30%	-	-
Collection Fee of Cash Dividend 現金股息	股息金額之2%	US\$10.00	US\$100.00
Collection Fee of Scrip Dividend 以股代息	每宗USD\$25	-	-
Collection Fee of Bonus Share 代收紅股	每宗USD\$25	-	-
Corporate action fee 企業行動費	每宗USD20 + 其他相關費用	-	-
ADR fee 預托證券費	每宗USD20 + 其他相關費用	-	-

Bonds-Related Services 債券交易服務

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Custody Fee 託管費	0.01% p.a. of the market value on month end holding 按每月底持有債券市值0.01%年率	US\$25.00	-
Handling Fee 手續費	0.12% of Consideration 交易金額的0.12%	-	-
Handling & Delivering 債券轉戶指示(轉倉)	\$500.00 Per Transaction 每宗交易\$500.00	-	-
Maturity 贖回到期債券	USD \$150 per bond	-	-
Early Redemption / Withdrawal 提早贖回 / 提取	USD \$150 per bond	-	-
Coupon Receiving 收取債息	0.6% on coupon	US\$100.00	-

Scrip Handling & Settlement-Related Services 處理實物股票及交收服務

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Physical Scrip Deposit Fee 存入實物股票費	\$5.00 Per Transfer Deed 每張轉手紙\$5.00	-	-
Physical Scrip Withdrawal Fee 提取實物股票費	\$5.00 Per Board Lot 每手\$5.00	HK\$100.00	-
Money Settlement Fee 款項交收費	Free 免費	-	-
Deposit Transaction Charge 存倉費	Free 免費	-	-
Settlement Instruction Fee - Receipt 交收指示費(轉倉存入)	Free 免費	-	-
Settlement Instruction Fee - Delivery 交收指示費(轉倉撥出)	1. CCASS Fee: 0.01% of Each Stock Value of the Previous Closing Price 中央結算手續費: 以上日收市價計算每隻股票總值之0.01% 2. Handling Fee: \$200.00 Per Transaction 手續費: 每宗交易\$200.00	HK\$3.00	-
Investor Settlement Instruction Fee - Receipt 投資者交收指示費(轉倉存入)	Free 免費	-	-
Investor Settlement Instruction Fee - Delivery 投資者交收指示費(轉倉撥出)	Handling Fee: \$20.00 Per Transaction 手續費: 每宗交易\$20.00	-	-
Compulsory Share Buy-back Fee 強制性補購股份費	Default Fee: 0.50% of Stock Value of the Previous Closing Price, Plus Handling Charges of \$200, (Applicable on Early / Compulsory Buy-in) 失責罰金: 相等於未能如期交付交易市值之0.50%, 另加手續費\$200 (同時適用於提早或強制性補購)	HK\$100.00	-

Account Maintenance 賬戶服務

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Custody Fee 託管費	Free 免費	-	-
Stock Balance or Account Confirmation Fee 證券結餘或賬戶證明書費	\$100.00 Per Certification 每份證明書\$100.00	-	-
Fee for Re-issue of Daily & Monthly Statements 補發日結單及月結單費	For Latest 3 Months: Free 最近三個月:免費 For Over 3 Months:\$30.00 三個月以上:\$30.00	-	-
Stock Segregated Account Fee (With CCASS Statement Service) 獨立股份戶口服務費(附寄中央結算系統結單服務)	\$120.00 Per Year 每年\$120.00	-	-

Nominee Services & Corporate Actions 代理人服務及企業行動

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Scrip Fee 股票過戶註冊費	\$2.50 Per Board Lot 每手股票\$2.50	-	-
Collection Fee of Cash / Scrip Dividend 代收現金股息/股票股息費	0.50% of Dividend Receivable 股息總額之0.50%	HK\$10.00	HK\$30,000.00
Collection Fee of Bonus Share / Rights Share 代收紅股 / 供股權費	Handling Fee: \$30 Per Transaction 手續費: 每宗交易\$30.00	-	-
Fee for Rights Subscription / Excess Rights Application 代客行使供股權/申請額外供股權費	Handling Fee: \$30 Per Transaction 手續費: 每宗交易\$30.00 \$2.00 Per Board Lot 每手股票\$2.00	-	-
Fee for Cash Offer / Privatisation / Auto-Exercise of Covered Warrants and CBBC 代客接受現金收購/私有化建議/備兌認股証及牛熊証自動行使費	1. Handling Fee: \$30.00 Per Transaction 手續費: 每宗交易\$30.00 2. \$2.00 Per Board Lot 每手股票\$2.00 3. Stamp Duty: 0.1% 印花稅: 0.1%	-	-
Fee for Share Consolidation / Subdivision 辦理股份合併/分拆費	Free 免費	-	-

Finance & Other Charges 借貸及其他收費

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Cash Account Overdue Interest 現金戶口結欠利息	Prime Rate + 5% p.a. 最優惠利率+5%	-	-
Margin Account Overdue Interest 保證金戶口結欠利息	Prime Rate + 3% p.a. 最優惠利率+3%	-	-
CHATS Payment Service Fee 本地電子付款指示收費	Handling Fee: \$150.00 Per Transaction 手續費: 每宗交易\$150.00 ***Plus Remittance Charges By Bank To Be Deducted from Remittance Amount 另加銀行費用,從匯款中扣除***	-	-
Telegraphic Transfer Service Fee 電匯收費	Handling Fee: \$400.00 Per Transaction 手續費: 每宗交易\$400.00 ***Plus Remittance Charges By Bank To Be Deducted from Remittance Amount 另加銀行費用,從匯款中扣除***	-	-
Returned Cheque Charge 退票收費	Handling Fee: \$150.00 Per Transaction 手續費: 每宗交易\$150.00	-	-
Stop Payment Charge 取消提款指示收費	Handling Fee: \$150.00 Per Transaction 手續費: 每宗交易\$150.00	-	-
Fee for Dividend Claim 代客追討股息費	Handling Fee: \$500.00 Per Stock 手續費: 每隻股票\$500.00	-	-
EIPO Subscription Service Fee 電子認購新股服務費	Handling Fee: \$50.00 Per Transaction 手續費: 每宗交易\$50.00	-	-

Remarks: The above charges are subject to revision from time to time without prior notice.

備註: 以上收費僅供參考, 本公司可隨時修訂, 恕不另行通知。