

Securities Settlement Instruction Form (SI and ISI)

證券交收指示表格

 RECEIVE 收取
 RECEIVE the following securities for my/our securities account
 請安排收取下列證券並存入本人(等)之證券戶口
 DELIVER 提取
 DELIVER the following securities from my/our securities account
 請由本人(等)之證券戶口提取下列證券並安排交付

CCASS Participant Name 中央結算名稱	Lego Securities Limited 力高證券有限公司	Name of Counterparty/Broker 交收對手名稱	
CCASS Participant ID 中央結算編號	B02060	Counterparty/Broker CCASS Participant ID/ ISI No. 交收對手中央結算編號	
Contact Person & Tel. No. 聯絡人及電話號碼		Counterparty/Broker Contact Person & Tel. No. 交收對手聯絡人及電話號碼	
Settlement Date 交收日期		<input type="checkbox"/> Free of Payment 毋須付款交收 <input type="checkbox"/> Delivery/Receipt Versus Payment 貨款對付交收	

Securities Code 證券代號	Securities Name 證券名稱	Quantity 數量	Settlement Amount (HKD) 交收金額(港幣)

*** Notes 備註

1 Please tick where appropriate 請於適當處加上☐號。

 2 Instructions for same day settlement must reach Settlement Department **before 12:00 noon** on the settlement day.
 如欲即日完成交收程序，務必於交收當日**正午十二時前**填妥此文件交付交收部

Client's Signature 客戶簽署

 Client's Name 客戶姓名

 Client's A/C Code 客戶號碼

 Contact No. 聯絡電話

For Official Use Only		
Signature verified by	CCASS instruction inputted by :	CCASS instruction authorized by :